

From: [Chen, Lily \(Fed\)](#)
To: [Dodson, Donna F \(Fed\)](#)
Subject: Fw: First cut at a summary of our thinking on security strengths for the forum.
Date: Wednesday, October 26, 2016 3:26:07 AM
Attachments: [DW4_gr_qsc001v010101p.pdf](#)

Hi, Donna:

This is just FYI, in case you are interested.

I am still not sure if you are added to the mailing list. (Actually, we do not use mailing list often. For the discussions, we just sent e-mails to all the team members.)

Lily

From: Chen, Lily (Fed)
Sent: Wednesday, October 26, 2016 3:23 AM
To: Perlner, Ray (Fed); Peralta, Rene (Fed); Moody, Dustin (Fed); Alperin-Sheriff, Jacob (Fed); Bassham, Lawrence E (Fed); Daniel Smith-Tone; Jordan, Stephen P (Fed); Liu, Yi-Kai (Fed); Miller, Carl A. (Fed); Smith-Tone, Daniel (Fed)
Subject: Re: First cut at a summary of our thinking on security strengths for the forum.

I think this is absolutely a good approach, i.e. to reach pqc community about the topic. I like Ray's write up. Here are one point I think we might want to be more clear.

About whether to map the classical/quantum strength to hash functions, I think we can be more straightforward. My understanding is that we are separating algorithms based on whether and how a quantum speed up on classical attack is effective (so called Groverizer). If my understanding is correct, then the current last paragraph reflects this meaning, however, a little hard to read. It also would be helpful to tell why we feel it is important to distinguish these two situations. Perhaps, we just want to know the best Groverizer can do on the classical attacks. Would it be possible if we simply say that we request algorithms with n bits classical security ($n = 128, 192, \text{ and } 256$) and no quantum attacks, generic or Groverizing, can do better than Grover algorithm on AES with n bits key?

Please notice that the security strength levels in the CFP is for submitters. No single family can satisfy both, i.e. resistant to Groverizer and not resistant to Groverizer. It will not be realistic to map to all five levels. A family can properly map either to levels (1, 3, 5) or to levels (2, 4). However, when we select, we can distinguish these two situations. We can say that we are in favor of the algorithms, on which Groverizer is less effective. But in a long run, the Groverizer resistance will be only one of the many factors we are considering.

Attached please see a copy of ETSI report. quantum security is discussed in Section 5.3.2 in general and Sections 6.5, 7.5, 8.5, 9.4, and 10.5 for each family.

Lily

From: Perlner, Ray (Fed)

Sent: Tuesday, October 25, 2016 4:14:36 PM

To: Peralta, Rene (Fed); Moody, Dustin (Fed); Alperin-Sheriff, Jacob (Fed); Bassham, Lawrence E (Fed); Chen, Lily (Fed); Daniel Smith-Tone; Jordan, Stephen P (Fed); Liu, Yi-Kai (Fed); Miller, Carl A. (Fed); Smith-Tone, Daniel (Fed)

Subject: RE: First cut at a summary of our thinking on security strengths for the forum.
Er. I forgot to add: That advice only holds assuming there's no classical attack cheaper than 2^{2k} work. If there is, the classical attack determines the security level.

From: Perlner, Ray (Fed)

Sent: Tuesday, October 25, 2016 4:10 PM

To: Peralta, Rene (Fed) <rene.peralta@nist.gov>; Moody, Dustin (Fed) <dustin.moody@nist.gov>; Alperin-Sheriff, Jacob (Fed) <jacob.alperin-sheriff@nist.gov>; Bassham, Lawrence E (Fed) <lawrence.bassham@nist.gov>; Chen, Lily (Fed) <lily.chen@nist.gov>; Daniel Smith-Tone <daniel-c.smith@louisville.edu>; Jordan, Stephen P (Fed) <stephen.jordan@nist.gov>; Liu, Yi-Kai (Fed) <yikai.liu@nist.gov>; Miller, Carl A. (Fed) <carl.miller@nist.gov>; Smith-Tone, Daniel (Fed) <daniel.smith@nist.gov>

Subject: RE: First cut at a summary of our thinking on security strengths for the forum.
Actually, the circuit size is proportional to $2^{2k}/\text{depth}$. So, you only can get the size down to 2^{2k} if you can accommodate a circuit with depth 2^{2k} (often not a realistic assumption.) That said, our guidance in that case should be quite simple. If $2k > 128$, you meet security strength 1, if $2k > 192$ you meet security strength 3, and if $2k > 256$, you meet security strength 5. (although I guess you need to be careful about the constants. If the function you're trying to invert is much cheaper than AES to compute, then you need k to be a bit larger.)

From: Peralta, Rene (Fed)

Sent: Tuesday, October 25, 2016 4:02 PM

To: Moody, Dustin (Fed) <dustin.moody@nist.gov>; Alperin-Sheriff, Jacob (Fed) <jacob.alperin-sheriff@nist.gov>; Bassham, Lawrence E (Fed) <lawrence.bassham@nist.gov>; Chen, Lily (Fed) <lily.chen@nist.gov>; Daniel Smith-Tone <daniel-c.smith@louisville.edu>; Jordan, Stephen P (Fed) <stephen.jordan@nist.gov>; Liu, Yi-Kai (Fed) <yi-kai.liu@nist.gov>; Miller, Carl A. (Fed) <carl.miller@nist.gov>; Perlner, Ray (Fed) <ray.perlner@nist.gov>; Smith-Tone, Daniel (Fed) <daniel.smith@nist.gov>; Peralta, Rene (Fed) <rene.peralta@nist.gov>

Subject: Re: First cut at a summary of our thinking on security strengths for the forum.

Can we give specific guidance to a submitter who wants to claim that the best a quantum computer can do to break her submission is to use Grover's algorithm on a space of size 2^{2k} ($2k$)? I don't know how to translate this into quantum circuit depth (I think the quantum circuit size is about 2^{2k}).

Rene.

From: Moody, Dustin (Fed)

Sent: Tuesday, October 25, 2016 3:38 PM

To: Alperin-Sheriff, Jacob (Fed); Bassham, Lawrence E (Fed); Chen, Lily (Fed); Daniel Smith-Tone; Jordan, Stephen P (Fed); Liu, Yi-Kai (Fed); Miller, Carl A. (Fed); Moody, Dustin (Fed); Peralta, Rene (Fed); Perlner, Ray (Fed); Smith-Tone, Daniel (Fed)

Subject: FW: First cut at a summary of our thinking on security strengths for the forum.

Ray has written up a post that we can submit to our pqc-forum to elicit feedback on our way of dealing with quantum security (see below). Let us know if you have any suggestions or comments. We would like to put this on the pqc-forum by the end of the week. Thanks!

Dustin

From: Perlner, Ray (Fed)

Sent: Tuesday, October 25, 2016 3:34 PM

To: Moody, Dustin (Fed) <dustin.moody@nist.gov>

Subject: First cut at a summary of our thinking on security strengths for the forum.

We got a lot of comments on our target security strengths section in the draft call for proposals. As a result, we feel it is appropriate to request some feedback before committing to an approach for measuring security in our final CFP. Here is a summary of our past and current thinking:

Previously we defined 5 security levels giving a classical and a quantum security strength.

However, we were defining quantum security a little oddly, and we think this may have led to some misunderstandings. Our goal in defining these levels was to capture the practical cost in time and dollars of breaking a scheme with the listed security strength. The biggest factors we felt were not adequately captured by existing metrics for quantum security are

The difficulty of parallelizing variants of Grover's algorithm and

The relative cost of quantum vs classical gates.

However, since most quoted figures in the literature for quantum "bits of security" don't take these things into account, we feel it was a mistake to use that language to describe what we were asking for.

Our current plan shares much with the previous approach. We still think it's reasonable to

categorize submitted parameter sets into 5 rough security strength categories, where categories 1,3, and 5 are at least as hard to break as AES128, AES192, and AES256, respectively, and categories 2 and 4 are at least as hard to break as SHA256 and SHA384 respectively. However, we don't necessarily think that quantum security can really be captured by a single number: The practical cost of an attack will be parametrized at least by the maximum circuit depth that can be permitted by real world quantum gate times, and the relative cost of classical and quantum gates. So instead, our approach would be to say that, for any reasonable assumptions, regarding maximum circuit depth and relative quantum/classical cost, attacks against the schemes in a given security strength category should not be cheaper than attacks against the defining algorithm (e.g. something in security strength category 4 should be no easier to break, given any reasonable assumption, than SHA384.) For reference, we'd consider a maximum depth ranging from 2^{40} to 2^{90} logical gates, and a relative quantum/classical cost ranging from 1 to 2^{40} to be reasonable.

We also wish to clarify that we do not, and did not intend to require that submitters provide different parameter sets for all 5 security levels. In our view, a parameter set with a higher security strength automatically satisfies the requirements for any of the lower security strengths. Our current suggestion is that submitters provide at least one parameter set meeting or exceeding security strength 4 or 5, and as many additional parameter sets as the submitter believes are necessary to take advantage of any security/performance tradeoffs offered by the design approach.

One possible change we may consider making to the current approach would be to eliminate the security strengths based on hash functions. This would simplify the security analysis somewhat, by effectively making generic quantum cryptanalysis irrelevant to our evaluation criteria. However, it would leave us with no way to give credit to algorithms, if the classical attacks against them are hard to Groverize. A number of commenters suggested making a change in the opposite direction. Some even suggested going so far as to treat an algorithm with 128 bits of classical security and no quantum speedup, as being equivalently strong to a 256-bit block cipher, since both have "128 bits of quantum security." We don't think this is reasonable. We can come up with plausible computation models where something with 192 bits of classical security and no quantum speedup might be as hard to break as AES 256 (and we can come up with plausible models where nothing with less than 256 bits of classical security is as hard to break as AES256) but we can't come up with a reasonable justification for treating something with much less than 192 bits of classical security as being as strong as AES 256.

Does the current approach seem sound?

What (if any) changes would you suggest?