# Quantum-Resistant Multivariate Public Key Cryptography

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9th Sept., 2013

Basic Examples of Schemes Summary High Level-Fundamental Problems Low Level-Structural Attacks

# Multivariate Public Key Cryptography

### Nonlinear Systems

Base the security of the cryptographic scheme on the difficulty of finding a preimage of some element in the range of a system of nonlinear equations.

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# Multivariate Public Key Cryptography

## Nonlinear Systems

Base the security of the cryptographic scheme on the difficulty of finding a preimage of some element in the range of a system of nonlinear equations.

The fundamental problem has been studied for at least hundreds of years and seems difficult.

High Level-Fundamental Problems Low Level-Structural Attacks

# Systems of Quadratic Equations

We can restrict ourselves to systems of quadratic equations.

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#### Key Size

A system of *m* quadratic equations in *n* unknowns consists of  $m\binom{n}{2} + n$  monomials. Key sizes are (in general) proportional to  $mn^2$ . If  $m \approx n$ , key sizes scale like  $n^3$ .

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#### **Underlying Problem**

The  $\mathcal{M}\mathcal{Q}$  problem of solving systems of quadratic equations over a field is NP-complete.

At least there is a chance that cryptanalysis may be difficult.

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## Prototypical Multivariate Public Key Scheme

#### Butterfly Construction

Let f be an efficiently invertible (in some sense) system of mquadratic formulae in n variables over some field  $\mathbb{F}_q$ . Let U and Tbe  $\mathbb{F}_q$ -linear maps of dimension n and m, respectively. Let  $P = T \circ f \circ U$ .

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## Prototypical Multivariate Public Key Scheme

Summarv

#### **Butterfly Construction**

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Since P is simply a representation of f (consider choosing different bases for the input and output spaces), y = P(x) is not an arbitrary instance of MQ.

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## Morphisms of Polynomials

### Morphism of Polynomials $(\mathcal{MP})$ Problem

Let  $F_q$  be the finite field with q elements. Let f and P be functions from  $F_q^n$  to  $F_q^m$ . Find  $F_q$ -affine maps T and U such that  $P = T \circ f \circ U$ .

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#### Isomorphism of Polynomials (IP) Problem

Find a solution to the  $\mathcal{MP}$  problem in which T and U are bijections.

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### Isomorphism of Polynomials (IP) Problem

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### IP 1 Secret $(\mathcal{IP}1\mathcal{S})$ Problem

Find a solution to the  $\mathcal{IP}$  problem in which T is the identity.

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# **Classical Cryptanalysis?**

#### Algebraic Attack

Use Gröbner basis algorithms to solve the system of equations arising from an instance of the scheme. This technique amounts to trying to solve the  $\mathcal{MQ}$  problem directly.

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# Classical Cryptanalysis?

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Summarv

### Structural Attack

Utilize the special structure of the core map to perform a key recovery attack. Essentially solve a morphism problem for a subclass of maps.

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# Classical Cryptanalysis?

#### Algebraic Attack

Use Gröbner basis algorithms to solve the system of equations arising from an instance of the scheme. This technique amounts to trying to solve the  $\mathcal{MQ}$  problem directly.

### Alternative Algebraic Attack

Develop algorithms for specifically solving  $\mathcal{MP}/\mathcal{IP}/\mathcal{IP}\mathcal{IS}$  problems.

### Structural Attack

Utilize the special structure of the core map to perform a key recovery attack. Essentially solve a morphism problem for a subclass of maps.

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# The Complexity of Morphism Problems

### $\mathcal{MP}$ is NP-hard

Poly-time reduction to 3-Tensor Rank Problem.

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# The Complexity of Morphism Problems

### $\mathcal{MP}$ is NP-hard

Poly-time reduction to 3-Tensor Rank Problem.

#### $\mathcal{IP}1\mathcal{S}$ is Gl-hard

Given a pair of graph presentations of length n, the existence of an isomorphism can be determined by the solution of a system of equations with  $O(n^{3/2})$  variables and equations.

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#### $\mathcal{IP}1\mathcal{S}$ is GI-hard

Given a pair of graph presentations of length n, the existence of an isomorphism can be determined by the solution of a system of equations with  $O(n^{3/2})$  variables and equations.

#### Deciding $\mathcal{IP}$ is not NP-hard

(Unless the poly-time hierarchy collapses.)

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## Rank Attacks

### Low Rank Attack

Find quadratic forms in the span of the public key polynomials which have low rank. Useful when the private key contains formulae with few variables.

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## Dual Rank Attack

Find a small subspace in the kernel of much of the span of the public polynomials.

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### Dual Rank Attack

Find a small subspace in the kernel of much of the span of the public polynomials.

Useful when the private key contains variables occurring in very few formula.

Used to break triangular and "tame-like" schemes.

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## Differential Attacks - Discrete Differential

### Definition

The *Discrete Differential* of a map  $f: k \to k$  is given by: Df(a, x) = f(a + x) - f(x) - f(a) + f(0).

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#### **Elementary Properties**

- Linear operator.
- Reduces complexity of a function: If f is quadratic, Df is bilinear.
- 3 If f is quadratic, D(Tf(Ux + c) + d) = D(Tf(Ux)).

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## Differential Attacks - Differential of Multivariate Scheme

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#### DP

Let  $P = T \circ f \circ U$ .

$$DP(a, x) = TDf(Ua, Ux).$$

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## Differential Attacks - Differential of Multivariate Scheme

### DP

Let  $P = T \circ f \circ U$ .

$$DP(a, x) = TDf(Ua, Ux).$$

#### Differential Coordinate Forms

Since *P* has *n* coordinates, *DP* can be split into *n* bilinear differential coordinate forms,  $DP_i = T_i Df(La, Lx)$ , where  $T_i$  represents the action of *T* on the *i*th coordinate.

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## Span of Forms

For all multivariate schemes,  $Span(DP_i) \subseteq Span(D(f \circ L)_i)$ .

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## Differential Attacks - Differential Symmetry

### General Linear Differential Symmetries

$$Df(La, x) + Df(a, Lx) = \Lambda_L Df(a, x)$$

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Can be used to break SFLASH( $C^{*-}$ ), MI( $C^{*}$ ), SQUARE,  $\ell$ -IC<sup>-</sup>,...

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Summarv

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Can be used to break SFLASH( $C^{*-}$ ), MI( $C^{*}$ ), SQUARE,  $\ell$ -IC<sup>-</sup>,...

#### Determination Possible

In principle, the space of linear maps L satisfying such a relation can be discovered (at least to live within a small subspace of the space of all linear maps).

High Level-Fundamental Problems Low Level-Structural Attacks

## Differential Attacks - Differential Invariants

#### First-Order Differential Invariants

The map f has a differential invariant if there exist V and W subspaces of  $F_q^n$  such that  $dim(W) \le dim(V)$  with the property that  $Mv \in W$  for all  $M \in Span(Df_i)$  and for all  $v \in V$ .

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# The $C^*$ Scheme

The  $C^*$  cryptosystem is the simplest example of a "big field" scheme.

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# The C\* Scheme

The  $C^*$  cryptosystem is the simplest example of a "big field" scheme.

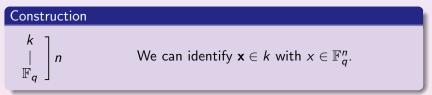


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### **Encryption Scheme**

$$y = P(x) = (T \circ f \circ U)x \text{ where } f(x) = x^{q^{\theta}+1}.$$
$$(Df(a, x) = ax^{q^{\theta}} + a^{q^{\theta}}x.)$$

### Trivial Differential Relation

We know that Df(v, v) = 0, since Df is antisymmetric.

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### We Compute...

0=Df(v,v)

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$$egin{aligned} 0 &= Df(v,v) \ &= Df(v,u^{q^{ heta}+1}) \end{aligned}$$

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$$D = Df(v, v)$$
  
=  $Df(v, u^{q^{\theta}+1})$   
=  $vu^{q^{2\theta}+q^{\theta}} + v^{q^{\theta}}u^{q^{\theta}+1}$ 

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=  $Df(v, u^{q^{\theta}+1})$   
=  $vu^{q^{2\theta}+q^{\theta}} + v^{q^{\theta}}u^{q^{\theta}+1}$   
=  $u^{q^{\theta}} \left(vu^{q^{2\theta}} + v^{q^{\theta}}u\right)$ 

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### We Compute...

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=  $Df(v, u^{q^{\theta}+1})$   
=  $vu^{q^{2\theta}+q^{\theta}} + v^{q^{\theta}}u^{q^{\theta}+1}$   
=  $u^{q^{\theta}} \left(vu^{q^{2\theta}} + v^{q^{\theta}}u\right)$ 

Therefore,  $vu^{q^{2\theta}} = v^{q^{\theta}}u$ .

### Trivial Differential Relation

We know that Df(v, v) = 0, since Df is antisymmetric.

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Therefore,  $(T^{-1}y)(Ux)^{q^{2\theta}} = (T^{-1}y)^{q^{\theta}}(Ux).$ 

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# HFE

### Core Map

Let k be a degree n extension field of  $F_q$  and let  $f : k \to k$  be defined by  $f(x) = \sum_{(i,j) \in I} \alpha_{(i,j)} x^{q^i + q^j}$  where I is some index set such that the pairs satisfy some degree bound  $q^i + q^j \leq d$ .

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### Vulnerable

The original proposal can be broken with algebraic attacks involving Gröbner basis computations. This class of systems of formulae generate easy  $\mathcal{MQ}$  problems.

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We can make this more precise with degree of regularity results.

# **Modifiers**

To secure schemes from these attacks, several modifiers have been developed.

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# Modifiers

To secure schemes from these attacks, several modifiers have been developed.

### Most Important Modifiers

- The minus (-) modifier: removing r of the public equations, and
- the vinegar (v) modifier: additional variables are added to the system, the values of which are randomly assigned in the inversion process.

### Definition [based on Dubois et al. (2007)]

A function f has the Multiplicative Symmetry if:  $Df(\sigma a, x) + Df(a, \sigma x) = p(\sigma)Df(a, x)$  for all  $\sigma \in k$ .

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### monomial

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### $C^*$ monomial

$$Df(\sigma a, x) + Df(a, \sigma x) = (\sigma^{q^{\theta}} + \sigma)Df(a, x),$$
  
$$DP(U^{-1}\sigma Ua, x) + DP(a, U^{-1}\sigma Ux) = L_{\sigma}DP(a, x).$$

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This relation provides a criterion for discovering the multiplicative structure of k which undermines  $C^*$ . Since this method doesn't require that T be invertible, this method works for  $C^{*-}$  as well to generate enough relations to turn it into  $C^*$ .

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# HFEv and HFEv-

### $\mathsf{HFEv}$

Let the core map be given by  $f(x, v) = \sum_{i,j} (\alpha_{i,j} x^{q^i+q^j} + \beta_{i,j} x^{q^i} v^{q^j} + \gamma_{i,j} v^{q^i+q^j}) + \sum_i a_i x^{q^i} + \sum_i b_i v^{q^i} + c,$ where v is restricted to a small subspace of k. Inversion is accomplished by fixing the values of v and then inverting the resulting set of HFE equations.

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If we use, in addition, the minus modifier we obtain  $\mathsf{HFEv}^-.$  QUARTZ is an  $\mathsf{HFEv}^-$  scheme.

Big Field Schemes Small Field Schemes

# **Balanced Oil-Vinegar**

### The Core Map

Let  $f : \mathbb{F}_q^{2o} \to \mathbb{F}_q^o$  be a random quadratic map such that given random constants  $c_1, \ldots, c_o \in \mathbb{F}_q$ ,  $f(x_1, \ldots, x_o, c_1, \ldots, c_o)$  is affine in  $x_1, \ldots, x_o$ .

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#### The Entire Map

The public map, P, is defined by  $P = f \circ L$  for some affine map, L.

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#### The Entire Map

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#### Inversion

Randomly choose 
$$c_1, \ldots, c_o$$
, solve  $y = f(x_1, \ldots, x_o, c_1, \ldots, c_o)$ , compute  $L^{-1}(x_1, \ldots, x_o, c_1, \ldots, c_o)^T$ .

# Differential Version of Kipnis-Shamir Attack

### Trivial Differential Property of Core Map

Let *O* represent the subspace generated by the first *o* coordinates. For all  $a, x \in O$ , Df(a, x) = 0. Therefore each differential coordinate form,  $Df_i$ , has the form:

$$\begin{bmatrix} 0 & Df_{i1} \\ Df_{i1}^T & Df_{i2} \end{bmatrix}$$

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$$\begin{bmatrix} 0 & Df_{i1} \\ Df_{i1}^T & Df_{i2} \end{bmatrix}$$

#### Differential Invariant

Let  $M_1$  and  $M_2$  be two invertible matrices in the span of the  $Df_i$ . Then  $M_1^{-1}M_2$  is an *O*-invariant transformation of the form:

$$\begin{bmatrix} A & B \\ 0 & C \end{bmatrix}$$

### Broken

### Find the Invariant Subspace

Since  $D(f \circ L)_i = L^T Df_i L$ , an attacker needs only find two invertible maps,  $M_1, M_2$ , in the span of  $DP_i$ , and find the invariant subspace of  $M_1^{-1}M_2$ .

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### New Decryption Map

Once recovered, the attacker produces a change of basis, M, sending the basis of O to the first o standard basis vectors. The attacker can then sign a document by the same method as the legitimate user.

Image: A mathematical states and a mathem

# UOV

### Unbalanced Oil-Vinegar

### Increase the number of vinegar variables.

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# UOV

### Unbalanced Oil-Vinegar

Increase the number of vinegar variables.

SIDE NOTE: There is an interesting natural parametrization within HFE and UOV.

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- Quantum complexity theoretic results on  $\mathcal{MP}/\mathcal{IP}/\mathcal{IP}\mathcal{IP}\mathcal{IS}$  would be very interesting.
- Quantum algorithms for some of these generic problems?
- Quantum enhancements (polynomial or exponential speedup) for structural attacks?

### Done

### Thanks!

### I will post some references when I wake up.

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