Random number generation from untrusted quantum devices Carl A. Miller University of Michigan, Ann Arbor

Joint Center for Quantum Information and Computer Science January 27, 2016

The need for provable randomness

Heninger et al. (2012) broke the keys of a large number of SSH hosts.

"... a wake-up call that **secure random number generation** continues to be an unsolved problem ..."



A communication scenario: RSA



Two classes of solutions

Pseudorandom generators

Computational hardness

Randomness from physical sources

Assumed randomness (or independence) of the source(s).

The central question

Can we create a source of **provable** random numbers (with minimal assumptions)?

Outline of the Talk

Part I: Introduction.

Part II: Quantum self-testing.

Part III: Random number generation from untrusted devices.

Part IV: Extensions & new directions.

Part I: Introduction

2001-2007: Math Ph. D. student at Berkeley.

Topic: Algebraic Geometry.





2007-2010: Math Postdoc at Michigan.



Quantum Physics is hailed as a cornerstone of 20th century science, with revolutionary and controversial implications that have changed the way we perceive nature. The University of Michigan played an important role in the development of quantum physics by hosting the famous Michigan Summer Schools running from 1928-1942, attended by Bohr, Heisenberg, Dirac, Pauli, Fermi, and etc. Quantum foundations are again on center stage now in

2010: Hired by Yaoyun Shi to work on quantum information.

Quantum cryptography & quantum communication

Quantum computing

Secure & efficient transmission & storage of information Faster algorithms based on manipulations of quantum systems

2011: Randomness begins.

There's a great paper in *Nature* about generating randomness from **untrusted devices.**



I think I heard that wrong.

What are some **minimal** assumptions we want before we can generate randomness?







What are some minimal assumptions we want before we

Impossible scenario #1:

Superdeterminism. No randomness exists in the universe. Hopeless.

Impossible scenario #2: Information cannot be shielded/contained.

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What are some **minimal** assumptions we want before we can generate randomness?

1. Assume the existence of a short uniformly random seed.







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What are some **minimal** assumptions we want before we can generate randomness?

- 1. Assume the existence of a short uniformly random seed.
- 2. Communication can be restricted from **and between** the devices.





Adversary

Randomness from Untrusted Devices

Test and use at the same time.



Desired claim: Conditioned on SUCCEED, the outputs are **uniformly random**.

2011: Read Chapter 5 of Colbeck's thesis.

Chapter 5

Private Randomness Expansion Under Relaxed Cryptographic Assumptions

"The generation of random numbers is too important to be left to chance." – Robert R. Coveyou

5.1 Introduction

As a casino owner, Alice has a vested interest in random number generation. Her slot machines use pseudo-random numbers which she is eager to do away with. Alice has a sound command of quantum physics, and realises a way to produce guaranteed randomness. However, running a casino is not easy and

Protocol proposed.

2014: Our proof.



Robust protocols for securely expanding randomness and distributing keys using untrusted quantum devices

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April 13, 2015

Abstract

Randomness is a vital resource for modern day information processing, especially for cryptography. A wide range of applications critically rely on abundant, high quality random numbers generated securely. Here we show how to expand a random seed at an exponential rate without trusting the underlying quantum devices. Our approach is secure against the most general adversaries, and has the following new features: cryptographic quality output security, tolerating a constant level of implementation imprecision, requiring only a unit size quantum memory

The first error-tolerant proof of untrusted-device random number generation.

Recently accepted by the Journal of the ACM.

Part II: Quantum self-testing

How do we know what is going on inside of untrusted quantum devices?

A starting point

Can we ever **verify** that a device is producing its outputs from quantum measurements?



In some cases, yes.

The Magic Square game

The game is won if:

- 1. Alice's parity is even.
- 2. Bob's party is odd.
- 3. The overlap matches.

Cannot be won perfectly with pre-programmed outputs.



Row number

Column number



The Magic Square game

The game is won if:

- 1. Alice's parity is even.
- 2. Bob's party is odd.
- 3. The overlap matches.

But it can be won with measurements on a quantum state!



The Magic Square game

Conclusion: If two devices win magic square repeatedly, they must be making quantum measurements.



The CHSH Game

The CHSH game is won if: $X \oplus Y = A \land B$

 $P_{classical}(win) \le 0.75$ $P_{quantum}(win) \le 0.853...$

A (bit) Х



A Simple Protocol (Colbeck 2006)

Two boxes play the CHSH game N times and we calculate the avg. score.







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A Simple Protocol (Colbeck 2006)

Two boxes play the CHSH game N times and we calculate the avg. score. If it's > 0.751, SUCCEED.

Outputs must be partially random!







The quantum device that achieves the optimal CHSH score **is unique** (state + measurements).



Inputs	Score if $O_1 \oplus O_2 = 0$	Score if $O_1 \oplus O_2 = 1$
00	+1	-1
01	+1	-1
10	+1	-1
11	-1	+1

Popescu-Rohrlich 92, McKague et al. 2012.

Why?

The only way to maximize the score on **each** input pair is to have a maximally entangled state with measurements at an angle of $\pi/8$ from one another:



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Generalizing self-testing

"Optimal robust self-testing by binary nonlocal XOR games." C. Miller, Y. Shi, TQC Proceedings 2013.

We gave a simple geometric criterion to determine exactly which binary XOR games are self-tests.



A Stricter Protocol

Two boxes play the CHSH game N times and we calculate the avg. score. If it's > $0.853 - \varepsilon$, SUCCEED.

Most rounds must produce near-perfect coin flips.



A Stricter Protocol

Small error tolerance is not desirable.

More importantly, how do we prove that the randomness **accumulates**?




Pieces of the Puzzle



Part III: Randomness expansion from untrusted devices



Small uniform seed + untrusted device -> uniform randomness

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A Tool

A randomness extractor is a collection of functions

 $f_i \colon \{0,1\}^n \to \{0,1\}^m$

such that for any sufficiently random $^{(*)}$ variable X on $\{0,1\}^n$, $f_i(X)$ is nearly uniform for most i.

Many known examples.

Partial randomness + small seed \rightarrow uniform randomness.

(*): Guessing probability << 2^{-m}

The spot-checking protocol (Col 06, Pir 10, CVY 13)

- Run the device N times. During "game rounds," play CHSH. Otherwise, just input oo.
- 2. If the average score during game rounds was < C, abort.
- 3. Otherwise, apply randomness extractor.

Need to prove: Final output is uniform **even to an entangled adversary.**



Timeline

Colbeck 2006: Proposal.

Pironio+ 2010: Analysis & Experiment

Pironio+ 2011, Fehr+ 2011, Coudron+ 13: Security against a classical adversary.

Vazirani+ 2012: Full security, no error-tolerance.

M.-Shi 2014: New method. Full security with error-tolerance.

M.-Shi 2015: Maximal error-tolerance, arbitrary nonlocal game.

Pieces of the Puzzle



The non-adversarial IID case

Let

$$H(X) = \sum_{x} p(x) \log(1/p(x)).$$

Suppose π is a function such that any device-pair satisfies

H (outputs) >= π (P (win CHSH))





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The general case

Problem: H is not a good measure.



This distribution has high H but low extractable bits.

The general case

Better measure: The Renyi entropy.

$$H_{1+c}(X) = -\frac{1}{c} \log \left[\sum_{x} p(x)^{1+c} \right].$$

 H_{1+c} proves extractable bits in the non-IID case! But it's hard to relate to the winning probability.

Def: the $(1+\epsilon)$ -winning probability of a device is

 $\frac{\mathrm{Tr}[\rho_{win}^{1+\epsilon}]}{\mathrm{Tr}[\rho^{1+\epsilon}]}$

where ρ = adversary's state.



The general case

A strong rate curve is a function π satisfying

 $H_{1+\epsilon}$ (outputs | adversary) $\geq \pi(P_{1+\epsilon}(\min)) - \mathcal{O}_{\text{dev.-ind.}}(\epsilon).$

The error term must be device-independent.



Our central contributions

Theorem. Let G be a nonlocal game that has a strong rate curve π . Then the spot-checking protocol produces $\pi(C)$ N uniform bits in N rounds. ^(*) **Theorem.** Two families of strong rate curves (shown below for CHSH).

(*): Modulo error terms.



Goal Achieved

Polylog-sized +

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untrusted (+ noisy) devices

-> uniform randomness

Aside: A look inside the proof

A Geometric Fact

The function Tr [$|X|^{1+\epsilon}$] is uniformly convex. [Ball+ 94]



A Geometric Fact

Consequence [MS 15]: Suppose $\phi \mid -> \phi'$ is the result of a binary measurement. $\phi + U\phi U^*$





The more **disturbance** caused by a measurement, the more **randomness** it adds.

Call this the $(1+\varepsilon)$ -uncertainty principle.

Proving a strong rate curve

Let w = max score CHSH achieved by devices that are deterministic on input 00.

Want: $P_{1+\epsilon}(win) >> w$ implies positive $H_{1+\epsilon}$.

Create a new device by pre-measuring w/ input 00.

If this brings the score down significantly, then a significant amount of state disturbance has occurred. (1+ε)–uncertainty principle says that randomness was generated!

So if $P_{1+\epsilon}(win)$ is significantly larger than $W_{G,a}$, we have randomness.



Part IV: Extensions & New Directions



Constant-size seed \rightarrow unbounded output



Unbounded expansion from any min-entropy source



Unbounded expansion from a constant number of devices

First proved by Coudron & Yuen (8 devices, not error tolerant). Our work + Chung-Shi-Wu implies 4 devices.



Key distribution

Our proof => Generating a secret in two places at once. (Device-independent quantum key distribution.)

Vazirani-Vidick 2013 showed this was possible with a linear seed. We improve to polylogarithmic seed.



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Back to secure communication



Back to secure communication







Two choices:

- 1. DI-RE + classical encryption.
- 2. DI-QKD with small seed.

Back to secure communication







Two choices:

- 1. DI-RE + classical encryption.
- 2. DI-QKD with small seed.



The Program: Generate randomness in **diverse** scenarios, with **minimal** resources.

... and be **very sure**.

How sure can we be?

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Thanks to the recent loophole-free Bell violation experiments, we can be very sure. (Non-communication guaranteed by relativity!)

Delft

LETTER

Loophole-free Bell inequality violation using electron spins separated by 1.3 kilometres

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More than 50 years ago', John Bell proved that no theory of nature sufficiently separated such that locality prevents communication that obeys locality and realism' can reproduce all the predictions of between the boxes during a trial, then the following inequality holds quantum theory: in any local-realist theory, the correlations under local realism:

between outcomes of measurements on distant particles satisfy an inequality that can be violated if the particles are entangled. Numerous Bell inequality tests have been reported³⁺¹³; however, Numerous och inequality tests have been reported "i nowever, all experiments reported so far required additional assump-tions to obtain a contradiction with local realism, resulting in Toopholes¹⁰⁻¹⁰. Here we report a Bell experiment that is free of any such additional assumption and thus directly tests the principles underlying Bell's inequality. We use an event-ready scheme¹⁷⁻¹⁹ that enables the generation of robust entanglement between distant electron spins (estimated state fidelity of 0.92 ± 0.03). Efficient spin read-out avoids the fair-sampling assumption (detection loophole^{14,13}), while the use of fast random-basis selection and spin read-out combined with a spatial separation of 1.3 kilometres ensure the required locality conditions13. We performed 245 trials ensure the requires accany commons . we performed 248 trans that tested the CHSH-Bell inequality 0 S>2 and found S=2.42 \pm 0.20 (where S quantifies the correlation between measurement outcomes). A null-hypothesis test yields a probability of at most P = 0.039 that a local-realist model for space-like sepaof at most $l^{\mu} = 0.03\%$ that a focal relation of the state of the

A strong loophole-free test of local realism

NIST

Lynden K. Shalm,¹ Evan Meyer-Scott,² Bradley G. Christensen,³ Peter Bierhorst,¹ Michael A. Wayne,^{3,4} Martin J. Stevens,¹ Thomas Gerrits,¹ Scott Glancy,¹ Deny R. Hamel,⁵ Michael S. Allman,¹ Kevin J. Coakley,¹ Shellee D. Dver,¹ Carson Hodge,¹ Adriana E. Lita,¹ Varun B. Verma,¹ Camilla Lambrocco,¹ Edward Tortorici,¹ Alan L. Migdall,^{4,6} Yanbao Zhang,² Daniel R. Kumor,³ William H. Farr,⁷ Francesco Marsili,⁷ Matthew D. Shaw,⁷ Jeffrey A. Stern,⁷ Carlos Abellán,⁸ Waldimar Amaya,⁸ Valerio Pruneri,^{8,9} Thomas Jennewein,^{2,10} Morgan W. Mitchell,^{8,9} Paul G. Kwiat,³ Joshua C. Bienfang,^{4,6} Richard P. Mirin,¹ Emanuel Knill,¹ and Sae Woo Nam¹

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We present a loophole-free violation of local realism using entangled photon pairs. We ensure that all relevant events in our Bell test are spacelike separated by placing the parties far enough apart and by using fast random number generators and high-speed polarization measurements. A high-quality polarization-entangled source of photons, combined with high-efficiency, low-noise, single-photon detectors, allows us to make measurements without requiring any fair-sampling assurptions. Using a hypothesis test, we compute p-values as small as 5.9×10⁻⁹ To our Bell violation while maintaining the spacelike separation of our events. We estimate the degree to which a local realistic system could urement choices. Accounting for this predictability, our smallest adjusted p-value is 2.3 × 10⁻⁷. We therefore reject the hypothesis that local realism governs our experiment.

But if [a hidden variable theory] is local it will not distant locations. This seemingly violates the locality agree with quantum mechanics, and if it agrees with principle from relativity, which says that objects cannot quantum mechanics it will not be local. This is what the theorem says. -JOHN STEWART BELL [1]

Quantum mechanics at its heart is a statistical theory. 51 It cannot with certainty predict the outcome of all single events, but instead it predicts probabilities of outcomes. This probabilistic nature of quantum theory is at odds with the determinism inherent in Newtonian physics and relativity, where outcomes can be exactly predicted

signal one another faster than the speed of light. In 1935 the nonlocal feature of quantum systems was popularized by Einstein, Podolsky, and Rosen [6], and is something Einstein later referred to as "spooky actions at a distance" [7]. But in 1964 John Bell showed that it is impossible to construct a hidden variable theory that obeys locality and simultaneously reproduces all of the predictions of quantum mechanics [8]. Bell's theorem

fundamentally changed our understanding of quantum

Vienna

Significant-loophole-free test of Bell's theorem with entangled photons

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tum mechanical wave function is an incomplete description of physical reality [1]. They started their discussion by noting that quantum mechanics predicts perfect correlations between the outcomes of measurements on two distant entangled particles. This is best discussed considering Bohm's example of two entangled spin-1/2 atoms [2, 3], which are emitted from a single spin-0 molecule and distributed to two distant observers, now commonly referred to as Alice and Bob. By angular momentum conservation, the two spins are always found

- to be opposite. Alice measures the spin of atom 1 in a freely
- chosen direction. The result obtained allows her to predict
- 5 with certainty the outcome of Bob, should he measure atom 2

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- along the same direction. Since Alice could have chosen any
 - possible direction and since there is no interaction between

correlations in measurement outcomes from two distant ob servers must necessarily obey an inequality [4]. Quantum mechanics, however, predicts a violation of the inequality for the results of certain measurements on entangled particles. Thus Bell's inequality is a tool to rule out philosophical standpoints based on experimental results. Indeed, violations have been measured

Do these experimental violations invalidate local realism? That is not the only logical possibility. The experimental tests of Bell's inequality thus far required extra assumptions, and therefore left open loopholes that still allow, at least in princi ple, for a local realist explanation of the measured data. (Note that empirically closing a loophole might still require the validity of some specific assumptions about the experiment.) The locality loophole (or communication loophole) is open

 $S = \left| \langle x \cdot y \rangle_{(0,0)} + \langle x \cdot y \rangle_{(0,1)} + \langle x \cdot y \rangle_{(1,0)} - \langle x \cdot y \rangle_{(1,1)} \right| \le 2$ (1) where (x - y)(a,b) denotes the expectation value of the product of x and y for input bits a and b. (A mathematical formulation of the concepts underlying Bell's inequality is found in, for example, ref. 25.) Quantum theory predicts that the Bell inequality can be significantly Quantum theory produces used the neutropolary control by agrinduced the following section, we add the particle, for example an electron, to each box. The spin degree of freedom of the electron forms a two-level system with eigenstates [1] and [1]. For each trial, the two spins are prepared into the entangled state $|\psi^-\rangle = (|\uparrow_1\rangle - |\downarrow_1\rangle)/\sqrt{2}$. The spin in box A is then measured along direction Z (for input bit a = 0 or X (for a = 1) and the spin in box B is measured along $(-Z+X)/\sqrt{2}$ (for b = 0) or $(-Z-X)/\sqrt{2}$ (for b = 1). If the measurement outcomes are used as outputs of the boxes, then quantum theory predicts a value of $S = 2\sqrt{2}$, which shows that the combination of locality and realism is fundamentally incompatible with the predictions of quantum mechanics. Bell's inequality provides a powerful recipe for probing fundamental

doi: 10.1038/nature15755

Conjecture: Unbounded expansion from <u>2</u> devices





This approach requires:

- 1. Blind randomness expansion.
- 2. **Parallel** randomness expansion.

Blind randomness expansion

Can Alice generate randomness that is unknown the other player?



M., Shi: "Forcing classical strategies for quantum players" (in preparation). A first step.

Parallel randomness expansion

Give inputs to the boxes all at once. Can we still verify randomness?



Experimental RNG

How can we improve theory to assist experimental realization?

NSF PFI:AIR-TT:Prototyping Untrusted Device Quantum Cryptography

NSF STARSS:TTP Option:Small: A Quantum Approach To Hardware Security: from Theory To Optical Implementation





Kim Winick Peter Diehr

Current focus: How does distinguishing between different **types of noise** (e.g., detector failures) improve the analysis?

The Big Picture

Trustworthy Quantum Information: Quantum cryptography and computation with minimal assumption.



Co-organizer, 2015 and 2016

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