

#### Carl Miller NIST Computer Security Division June 1, 2018

NIST PQC Seminar (not for public distribution)

#### The Basics

- It's a digital signature scheme.
- It is an LWE scheme (Learning With Errors) in the ring  $\mathcal{R}_q := \mathbb{Z}_q[x]/\left(x^n+1
  ight)$
- It involves a trick using a hash function (forcing the signer to do steps in a certain order?).

#### Building Blocks

# The Ring R<sub>q</sub>

Let n be a power of two (e.g., 2048) and let q be a positive integer (e.g., 12,681,217). Consider the ring

$$\mathcal{R}_q := \mathbb{Z}_q[x] / (x^n + 1)$$

Let us say that a vector  $v = v_0 + v_1 x + v_2 x^2 + ...$  in  $R_q$  is **short** if every  $v_i$  has small absolute value. Let us say that such a vector v is **small** if  $|v_i| \le 1$  for all i, and most of the values  $v_i$  are zero.

# The Ring R<sub>q</sub>

Suppose that we secretly pick a random short vector s in  $R_q$ . We then publicly pick random elements  $a_1$ ,  $a_2$ ,  $a_3$ , ... and compute

 $sa_1$ ,  $sa_2$ ,  $sa_3$ , ... If we reveal these values to an adversary, she can easily determine s.

But if we mask them each with random small vectors

 $sa_1+e_1$ ,  $sa_2+e_2$ ,  $sa_3+e_3$ , ... then determining s becomes a lot harder.

## The Ring R<sub>q</sub>

# **Basic hardness assumption:** The distribution of (a, sa + e) is computationally indistinguishable from random.

#### Different forms of the "hard" problem

Suppose we are given a vectors  $u_{,a}$  in  $R_{q}$  and are asked to find a short vector z such that

 $\upsilon \approx az$ (That is, ( $\upsilon - az$ ) is a short vector.)

This must be hard too (otherwise the problem on the previous page could be easily solved).

### Different forms of the "hard" problem

Suppose we are given a vectors  $u_{,a}$  in  $R_{q}$  and are asked to find a short vector z such that

 $\upsilon \approx az$ (That is, ( $\upsilon - az$ ) is a short vector.)

Next suppose that we are given a and allowed to pick u, <u>but</u> it must be of the form

 $u := w - H([w]_M)$ 

where H is a hash function and  $[]_M = \text{``most significant bits.''}$ ``Forging'' for the upcoming sig.-prot. is similar to solving this.



#### Overview

The signer produces two random-looking elements a,t in  $R_q$  (except that a is invertible). There is a fixed hash function H that maps bit-strings to

**small** elements of  $R_q$ .



Signer Public key: a,t



Verifier Public key: a,t

#### Overview

The signer signs a message m with a signature (z,c) where z, c are in  $R_q$ , z is short and c is small. The verifier computes w := az – tc, and accepts only if c = H ( [w]<sub>M</sub>, m).



#### Overview

"Faking" a solution to the system

w = az - tc and  $c = H([w]_M, m)$ 

is hard (?).

But given specific knowledge about how a,t were generated (specifically, if t = as + e, where s and e are short) it's easy.



#### Procedures

Algorithm 1 Informal description of the key generation

#### Require: -

**Ensure:** Secret key sk = (s, e, a), public key pk = (a, t)

- 1:  $a \leftarrow \mathcal{R}_q$  invertible ring element
- 2: Choose  $s, e \in \mathcal{R}$  with entries from  $\mathcal{D}_{\sigma}$ .
- 3: If the h largest entries of e sum to  $L_E$  then sample new e and retry at step 2.

🛹 Gaussian distribution

- 4: If the h largest entries of s sum to  $L_S$  then sample new s and retry at step 2.
- 5:  $t = as + e \in \mathcal{R}_q$ .
- 6: Return secret key sk = (s, e) and public key pk = (a, t).



#### Procedures

Algorithm 2 Informal description of the signature generation

**Require:** Message m, secret key sk = (s, e, a), **Ensure:** Signature (z, c).

1: Choose y uniformly at random among B-short polynomials in  $\mathcal{R}_q$ .

- $2: \ c \leftarrow H([ay]_M, m).$
- 3:  $z \leftarrow y + sc$ .
- 4: If z is not  $(R L_S)$ -short then retry at step 1.
- 5: If ay ec is not well-rounded then retry at step 1.
- 6: Return signature (z, c).

A solution is constructed to the system from 2 slides ago. If y, s are short and e is small, then y+sc is short, as desired.

#### Procedures

Algorithm 2 Informal description of the signature generation

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- 6: Return signature (z, c).

Randomness is needed here. In the full protocol, this randomness is drawn by hashing the message itself.

#### Performance

#### Security claims

The authors claim that their protocol is provably secure in the Quantum Random Oracle Model (QROM). This is established mostly by referring to other papers.

E. Alkim, et al. "Revisiting TESLA in the quantum random oracle model." <u>https://eprint.iacr.org/2015/755.pdf</u> (2017)

The protocol is in a sense a Fiat-Shamir transformation of a certain identification scheme (?). This is another way to approach security (?).

#### Security claims

The security proofs are based on a few assumptions, including the hardness of their version of Ring-LWE.

(Question: What hardness assumptions are made about the hash function?)

Numerical claims about security are based on the "LWE-Estimator" software.

#### Authors' Response to Comment

A mistake was found by V. Lyubashevsky (thanks!)

- Security reduction still holds
- Bit security estimates unchanged
- But "provable-security" property is lost for those parameters

#### Speed

Scheme	keygen	sign	verify	total	
Scheme				(sign + verify)	
qTESLA-128	3 402	2495	520	3015	
qTESLA-192	5875	9686	1065	10751	
qTESLA-256	12433	26063	1310	38496	

Table 3: Performance (in thousands of cycles) of qTESLA on a 2.40 GHz Intel Core i5-6300U (Skylake) processor. Cycle counts are rounded to the nearest  $10^3$  cycles.

(These schemes address security levels 1, 3, and 5, respectively.)

#### Size

Some variables (such as "a") are not stored as-is – a shorter bit string is stored and then and expanded using cSHAKE.

Table 2: Different key and signature sizes of our proposed parameter sets; we abbreviate theoretical sizes with TS and sizes as used in the implementations with IS; sizes are given in bytes.

Parameter set	TS/IS	public key	secret key	signature
qTesla-128	TS	$2\ 976$	1 856	2720
	IS	$4\ 128$	2112	3  104
qTesla-192	TS	$6\ 176$	4 160	5664
	IS	$8\ 224$	$8\ 256$	$6\ 176$
aTaala 256	TS	$6\ 432$	4 128	5 920
qTesla-256	IS	$8\ 224$	$8\ 256$	$6\ 176$

The authors claim to have one of the smallest signature sizes against a quantum adversary.



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